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**FACSIMILE COVER SHEET****OFFICIAL**Deliver to: Hassan Mahmoudi, USPTOArt Group: 2175Facsimile No.: 703-872-9306Date: July 8, 2004From: William W. Schaal, Reg. No. 39,018Our Docket No.: 42390P9844Number of pages 43 including this sheet.Application No.: 09/751,899Filing Date: 12/27/2000Docket Due Date(s): 7/21/2004

Enclosed are the following documents:

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Amendment: <u>Response</u> ( <u>39</u> pgs)  | <input type="checkbox"/> Issue Fee Transmittal                                     |
| <input type="checkbox"/> Appeal Brief (in triplicate) ( <u>    </u> pgs)   | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Application: <u>                                    </u>  | <input type="checkbox"/> Petition for: <u>                                    </u> |
| ( <u>    </u> pgs) w/cover & abstract)   | <input type="checkbox"/> Request for Continued Examination (RCE)                   |
| <input type="checkbox"/> Assignment & Cover Sheet ( <u>    </u> pgs)   | <input type="checkbox"/> Reply Brief ( <u>    </u> pgs)                            |
| <input checked="" type="checkbox"/> Certificate of Facsimile   | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i)      |
| <input type="checkbox"/> Continued Prosecution Application (CPA)   | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request        |
| <input type="checkbox"/> Declaration & POA ( <u>    </u> pgs)  | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter  |
| <input type="checkbox"/> Drawings: <u>    </u> sheets, <u>    </u> figures   | <input type="checkbox"/> Response to Written Opinion ( <u>    </u> pgs)            |
| <input type="checkbox"/> Extension of Time: <u>                                    </u>                                    | <input type="checkbox"/> Terminal Disclaimer                                       |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate)   | <input type="checkbox"/> Transmittal of Publication Fee Due                        |
| <input type="checkbox"/> IDS & PTO/SB/08 ( <u>    </u> pgs)  | <input checked="" type="checkbox"/> Transmittal Letter                             |
| <input type="checkbox"/> Other <u>  </u> |  |

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)**

I hereby certify that this correspondence is being transmitted by facsimile on the date shown below to the United States Patent and Trademark Office.

  
 Susan McFarlane

07/8/2004

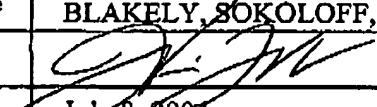
Date


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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/751,899
		Filing Date	December 27, 2000
		First Named Inventor	David W. Grawrock
		Art Unit	2175
		Examiner Name	Hassan Mahmoudi
Total Number of Pages in This Submission	42	Attorney Docket Number	42390P9844

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 60px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 <b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b>
Signature	
Date	July 8, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
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Typed or printed name	Susan McFarlane		
Signature		Date	July 8, 2004

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 06/04/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$) 0.00

## Complete If Known

Application Number	09/751,899
Filing Date	December 27, 2000
First Named Inventor	David W. Grawrock
Examiner Name	Hassan Mahmoudi
Art Unit	2175
Attorney Docket No.	42390P9844

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

 Deposit Account Number  
 02-2666

 Deposit Account Name  
 Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	180	2005	90	Provisional filing fee	
SUBTOTAL (1)					(\$)

## 2. EXTRA CLAIM FEES

Total Claims	21	-	21	=	0	X	18.00	=	\$0.00
Independent Claims	4	-	4	=	0	X	88.00	=	\$0.00
Multiple Dependent									

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	280	2203	140	Multiple Dependent claim, if not paid	
1204	88	2204	43	**Release independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	180	2051	85	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	960	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	85	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1480	130	2480	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(a)	
1808	180	1808	180	Submission of Information Disclosure Sheet	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.125(e))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

## SUBMITTED BY

Name (Print/Type)	William W. Schaal	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature		Date	07/08/04		

 Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (w/1) 02/10/2004.  
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Appl. No. 09/751,899  
Amdt. Dated 7/8/04  
Reply to Office action of April 21, 2004

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application. No. :	09/751,899	Confirmation No. 9094
Applicant :	David W. Grawrock	
Filed :	12/27/2000	
TC/A.U. :	2175	
Examiner :	Hassan Mahmoudi	
Title :	Platform and Method of Creating a Secure Boot that Enforces Proper User Authentication and Enforces Hardware Configurations	
Docket No. :	042390.P9844	
Customer No. :	8791	

Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

**AMENDMENT**

Sir:

In response to the Office action of April 21, 2004, please amend the above-identified application as follows:

**Listing of Claims** is presented beginning on page 2 of this paper. No changes have been made to the claims in this Amendment.

**Remarks/Arguments** begin on page 6 of this paper.